

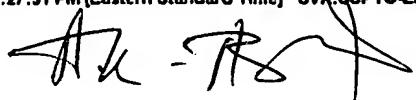
PATENT APPLICATION  
PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Complete if Known			
		Application Number		10/003,094	
		Filing Date		November 2, 2001	
		First Named Inventor		Joern Ostermann et al.	
		Group Art Unit		2644	
Examiner Name		Minerva Rivero			
Sheet	1	of	1	Attorney Docket Number	2000-0600

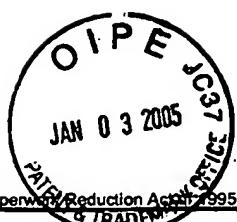
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
A-A		US 6,453,294		DUTTA et al.	09-2002
		US 5,640,590		LUTHER, Willis J.	06-1997
		US 5,555,343		LUTHER, Willis J.	09-1996
		US 6,539,354		SUTTON et al.	03-2003
		US 5,546,500		LYBERG, Bertil	08-1996
		US 6,522,333		HATLELID et al.	02-2003
		US 5,657,426		WATERS et al.	08-1997
		US 6,002,997	A	TOU, Julius T.	12-1999
		US 6,385,586	B1	DIETZ, Timothy Alan	05-2002
		US 5,826,234	A	LYBERG, Bertil	10-1998
		US 6,243,681	B1	GUJI et al.	06-2001
		US 5,697,789		SAMETH et al.	12-1997
		US 6,122,606		JOHNSON, William J.	09-2000
		US 5,983,190		TROWER et al.	11-1999
		US 2003/0035412		WANG et al.	02-20-2003
		US 2003/0191816	A1	LANDRESS et al.	10-2003
		US 2001/0049596	A1	LAVINE et al.	12-2001
		US 2002/0194006	A1	CHALLAPALI, Kiran	12-2002
		US 2003/0028378	A1	AUGUST et al.	02-2003
		US 2001/0019330	A1	BICKMORE et al.	09-2001
A-A		US 2003/0046160		PAZ-PUJALT et al.	03-2003

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 12

## Complete If Known

Application Number	10/003,094
Filing Date	November 2, 2001
First Named Inventor	Joern Ostermann
Art Unit	2655
Examiner Name	Minerva Rivero
Attorney Docket Number	2000-0600

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (# known)			
A-A		US- 5,881,731	03-1999	Liles et al.	
		US- 6,064,383	05-2000	Skelly, Timothy C.	
		US- 5,647,834	07-1997	Ron, Samuel	
		US- 6,545,682	04-2003	Ventrella et al.	
		US- 6,692,359	02-2004	Williams et al.	
		US- 5,781,186	07-1998	Jennings, Terry Don	
		US- 5,860,064	01-1999	Henton, Caorline G.	
		US- 6,405,225	06-2002	Apfel et al.	
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		US- 6,232,966	05-2001	Kurlander, David J.	
		US- 5,732,232	03-1998	Brush et al.	
		US- 50,681 A1	12-2001	Keys et al.	
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		US- 109,680 A1	08-2002	Orbanes et al.	
		US- 50,689 A1	12-2001	Park	
		US- 91,154 A1	05-04	Cote	
		US- 6,230,111	05-2001	Mizokawa, Takahashi	
		US- 5,420,801	05-1995	Dockter et al.	
A-A		US-			

## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)				

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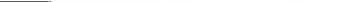
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		Application Number	10/003,094
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Art Unit	2655
		Examiner Name	Minerva Rivero
Sheet	2	of	2
		Attorney Docket Number	

## NON-PATENT LITERATURE DOCUMENTS

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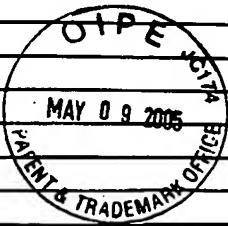
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## PATENT APPLICATION

PTO/SB/08A MODIFIED

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				Group Art Unit	2655
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A A		US-6,460,075 B2		Kruger, et al.	10-2002
		US-6,784,901 B1		Harvey et al.	08-2004
		US-6,496,868 B2		Krueger et al.	12-2002
		US-6,680,934 B1		Cain, Bradley	01-2004
		US-6,631,399 B1		Stanczak, et al.	10-2003
		US-6,665,860 B1		DeSantis, et al.	12-2003
		US-6,466,213		Bickmore, et al.	10-2002
		US-6,018,744		Mamiya et al.	01-2000
A A		US-5,537,662		Sato, et al.	07-1996

FOREIGN PATENT DOCUMENTS					
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		Office <sup>3</sup>	Number (if known)		
Examiner Signature		<i>A A - HSD</i>		Date Considered	11/26/06

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
A A	1	H. NOOT, ZS. M. RUTKAY, "Cartoon 20.0 Manual, January 31. 2000			

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		First Named Inventor	Joern Ostermann		
		Group Art Unit	2655		
		Examiner Name	Minerva Rivero		
Sheet	2	of	4	Attorney Docket Number	2001-0600

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		Number	Kind Code <sup>2</sup> (if known)		
A-A		US-6,532,011		Francini, et al.	3-2003
		US-6,147,692		Shaw, et al	11-2000
		US-4,276,570		Burson, et al.	06-1981
		US-5,638,502		Murata, Yoshiuki,	06-1997
		US-5,818,461		Rouet et al.	10-1998
		US-6,476,815		Ando, Tsutomu	11-2002
		US-6,381,346		Erasian, Arsev H.	04-2002
		US-5,995,639		Kado, et al.	11-1999
A-A		US-5,689,618		Gasper, et al.	11-1997

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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Examiner Signature *A-A - ARS* Date Considered *11/26/05*

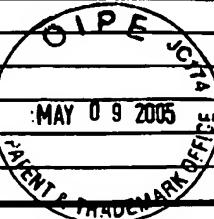
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
A-A	2	LIJUN YIN, A. BASU; "MPEG4 face modeling using fiducial points", IEEE; Image Processing, 1997. Proceedings., International Conference on, Volume: 1, 26-29. 1997			<input type="checkbox"/>

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## PATENT APPLICATION

PTO/SB/08A MODIFIED

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		Application Number	10/003,094		
		Filing Date	November 2, 2001		
		First Named Inventor	Joern Ostermann		
		Group Art Unit	2655		
		Examiner Name	Minerva Rivero		
Sheet	3	of	4	Attorney Docket Number	2001-0600



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		Number	Kind Code <sup>2</sup> (if known)			
A-A		US-6,384,829		Prevost, et al.	05-2002	
		US-5,347,306		Nitta, Tohei	09-1994	
		US 5,850,463		Horii, Kazuya	12-1998	
		US-4,602,280		Maloomian, Laurence G.	7-1986	
		US- 6,643,385		Bravomalo, Mario J.	11-2003	
		US-5,113,493		Crosby C. Scott	05-1992	
		US-6,215,505		Minami et al.	04-2001	
A-A		US-6,417,853		Squires, et al.	07-2002	

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		Office <sup>4</sup>	Number (if known)	Kind Code <sup>2</sup>				

Examiner Signature

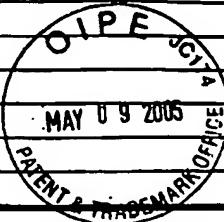
Date Considered

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A-A	3	BICKMORE, ET AL., "Animated Autonomous Personal Representatives", ACM, International Conference on Autonomous Agents, Proceedings of the Second International Conference on Autonomous Agents, pgs 8-15, 1998					<input type="checkbox"/>
A-A	4.	THORISSON, KRISTINN R. "ToonFace: A System for Creating and Animating Interactive Cartoon Faces." MIT Media Laboratory Learning and Common Sense Section Technical Report, pp. 96-101, Apr. 1996					

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PATENT APPLICATION  
PTO/SB/08A MODIFIED

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> <p>Sheet <u>4</u> of <u>4</u></p>			Complete If Known		
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			Filing Date	November 2, 2001	
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A A		2001/0050681 A1		Keys et al.	12-2001	
		2002/0109680 A1		Orbanes et al.	08-2002	
		2001-0050689 A1		Park	12-2001	
A A		2004/0091154 A1		Cote	05-2004	

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		Office <sup>2</sup>	Number (if known)	Kind Code <sup>2</sup>				

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A A	5	DAVID KURLANDER, TIM SKELLY, DAVID SALESIN, "Comic Chat", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996	<input type="checkbox"/>
A A	6	POLLACK, "Happy in the East or Smiling in the West", New York Times. 12, August 1996.	<input type="checkbox"/>
A A	7	PELACHAUD, ET AL. "Generating Facial Expressions for Speech", Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>

Examiner Signature	<u>A A</u> - <u>A S</u>	Date Considered	<u>11/26/06</u>
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PTO/SB/08B MODIFIED

**PATENT APPLICATION**  
 PTO/SB/08A-MODIFIED

Substitute for Form 1468A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>				<b>Application Number</b> 10/003,094 <b>Filing Date</b> November 2, 2001 <b>First Named Inventor</b> Joern Ostermann <b>Group Art Unit</b> 2655 <b>Examiner Name</b> Minerva Rivero <b>Attorney Docket Number</b> 2000-0600	
Sheet	1	of	1		

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A A		US-5,387,178		MOSES, Gary L.	02-1995
I		US-5,745,360		LEONE, et al.	04-1998
A IA		US-6,011,537		SLOTZNICK, Benjamin	01-2000

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	1					<input type="checkbox"/>

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